PHB-PEO electrospun fiber membranes containing chlorhexidine for drug delivery applications

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Abstract

Fiber meshes of poly(hydroxybutyrate) (PHB) and poly(hydroxybutyrate)/poly(ethylene oxide) (PHB/PEO) with different concentrations of chlorhexidine (CHX) were prepared by electrospinning, for assessment as a polymer based drug delivery system. The electrospun fibers were characterized at morphological, molecular and mechanical levels. The bactericidal potential of PHB and PHB/PEO electrospun fibers with and without CHX was investigated against \textit{Escherichia coli} (\textit{E. coli}) and \textit{Staphylococcus aureus} (\textit{S. aureus}) by disk diffusion susceptibility tests. Electrospun fibers containing CHX exhibited bactericidal activity. PHB/PEO-1\%CHX displayed higher CHX release levels and equivalent antibacterial activity when compared to PHB/PEO with 5 and 10 wt\% CHX. Bactericidal performance of samples with 1 wt\%
CHX was assessed by Colony Forming Units (CFU), where a reduction of 100 % and 99.69 % against *E. coli* and *S. aureus* were achieved, respectively.

**Keywords:** Electrospun fibers; drug release kinetics; antibacterial activity; Biopolymers; chlorhexidine

1. Introduction

Material engineering approaches allow the design of materials with increasing complexity and functionality which are applied in the development of drug delivery systems [1]. Both natural and synthetic polymers are being used in controlled drug release to maximize system efficiency [1, 2]. “Drug release” refers to the process in which drug solutes migrate from the initial position in the polymer system to the polymer outer surface and then to the medium [1]. This process is affected by multiple complex factors such as the structural characteristics of the material system, release environment and to possible interactions between them [1]. According to Zeng et al. [3], polymer based drug delivery systems show advantages when compared to the conventional dosage forms as they allow, for example, the therapeutic effect with reduced toxicity and enhance compliance of the patients by delivering drugs at a controlled rate over a period of time to the site of action [3]. However, some problems such as the low efficiency of the drug delivery systems and drug disintegration at the beginning of the process are still unresolved [3]. Drugs can be encapsulated directly into fibers processed by electrospinning, thus conferring on the electrospun fibers the function of drug carriers [3]. The study on electrospun fiber carriers for drug delivery is very limited [3]. Reported electrospun drug delivery systems include transdermal, oral sustained, targeted, implantable, tissue engineering and trans-membrane delivery [4]. Drug delivery with polymer fibers is based on the principle that the delivery rate of the drug can be controlled by tailoring surface area of both drug and carrier [5]. Several factors that can affect the drug release from electrospun fibers are fiber geometry and thickness, membrane porosity, composition, crystallinity and swelling, to name a few [6].
Synthetic and natural biodegradable polymers have received special attention in pharmaceutical research [2]. Delivery systems based on biodegradable polymers are used because these polymers degrade into compounds that can be readily excreted from the body, thus obviating the need for later removal at the end of the treatment period [7]. Biodegradable polymers are used to control the drug release rate by diffusion through the polymer matrix or the pores within the matrix, and/or by degradation of the polymer chain and erosion of the matrix [2]. However, the control of the drug release rate from a delivery system that is susceptible to a degradation process is difficult, as the release rate may change over the degradation process [2].

PHB is a biocompatible polymer obtained from natural sources with a high degree of crystallinity. It is also characterized by high brittleness, poor processability and poor thermal stability [8]. Due to its natural origin, PHB has potential for biomedical applications, including drug delivery systems [9]. It has been evaluated for controlled drug release systems, surgical structures, wound dressings, orthopedic devices, tissue engineering and skin substitute materials [10].

Chlorhexidine (CHX) is one of the most efficient antimicrobial agents [11]. This drug has been widely used in a largely range of applications due to its antimicrobial activities against Gram-positive and Gram-negative bacteria and fungi, and non-toxicity toward mammalian cells [11, 12]. It is used in several products for oral protection and, in general, for dentistry applications due to its antiseptic and disinfectant action on wounds [13]. Various studies [11, 12, 14-16] have been conducted on CHX release, however, only a few works are devoted to CHX release with electrospun fibers. Chen et al. [12] studied electrospun cellulose acetate fibers containing CHX as a bactericide for Gram-negative *Escherichia coli* and the Gram-positive *Staphylococcus epidermidis*. It was concluded that CHX bound on cellulose acetate fibers is still capable of killing the bacteria with a reduction of 99.9% of the viable bacteria in 1 hour [12].

In an electrospinning process, a strong electrostatic field is applied to a polymer solution held in a syringe and feed through a needle [17]. The fiber jet travels through the atmosphere allowing the solvent to evaporate, thus leading to the deposition of solid polymer fibers on the collector [17]. In the present work PHB/PEO fiber membranes containing different amounts of CHX were produced by electrospinning. The influence of the presence of the drug on fiber diameter and average size distribution, as well as the evolution of the mechanical properties of the membranes was characterized. Further, drug immobilization on the fibers was confirmed and the CHX release kinetics
evaluated. Bactericidal performance of PHB/PEO samples 1 wt% CHX was assessed by Colony Forming Units (CFU), against both the *gram-negative* strain *E. coli* and *gram-positive* strain *S. aureus*.

2. Experimental

2.1 Materials

Poly(hydroxybutyrate), (PHB, molecular weight of ~ 531112 Da) from sugar cane was supplied by PHB Industrial. Poly(ethylene oxide), (PEO, molecular weight of ~ 100 000 Da) was supplied by Polysciences and Chlorhexidine, (CHX, molecular weight of ~ 505.45 Da) was purchased from Sigma Aldrich. The polymer solutions of PHB and PHB/PEO (90/10, wt%) with different final CHX concentrations (1, 5 and 10 wt%) were dissolved in a blend of N,N dimethylformamide (DMF, from Merck) and chloroform (CF, from Merck) (80/20 v/v) to achieve a final polymer concentration of 10 % (w/w). The polymer solutions with CHX were dissolved at 70 °C under stirring until complete dissolution.

2.2 Electrospinning

Electrospinning was performed by the method described previously [18]. Briefly, the polymer solution was placed in a glass syringe (10 mL) fitted with a steel needle with a diameter of 0.5 mm. Electrospinning was conducted in a home-made controlled temperature chamber at 40 °C, a relative humidity between 45-55 % and an applying a voltage of 20 kV with a power supply from Glassman (model PS/FC30P04). A syringe pump (from Syringepump) was used to feed the polymer solutions into the needle tip at a rate of 10 mL.h⁻¹. The as-spun randomly oriented fibers were collected in a grounded collecting plate and stored at room temperature.

2.3 Electrospun fiber membrane characterization

Electrospun fiber membranes were coated with a thin gold layer using a sputter coating (*Polaron*, model SC502), and their morphology was analyzed using scanning electron microscopy (SEM) (*Quanta* 650 from FEI) with an accelerating voltage of 10 kV. The fiber average diameter and their size distribution was calculated over approximately 40
fibers using SEM images at 5000X magnification and Image J software. Contact angle measurements (sessile drop in dynamic mode) were performed at room temperature in a Data Physics OCA20 device using ultrapure water as test liquid. The contact angles were measured by depositing water drops (3 µL) on the sample surface and analyzed with a SCA20 software. At least 6 measurements in each sample were performed in different membrane locations, and the average contact angle was taken as the result for each sample.

Infrared measurements (FTIR) were performed at room temperature in an ABB FTLA 2000 apparatus in transmission mode from 4000 to 500 cm\(^{-1}\). FTIR spectra were collected after 10 scans with a resolution of 4 cm\(^{-1}\). The measurements were performed with dry potassium bromide pellets (KBr).

The mechanical properties of the electrospun fiber membranes (dimensions of 40 mm \(\times\) 5 mm \(\times\) 40 µm) were characterized by stress-strain experiments in tensile mode with a Linkam TST 350 universal testing machine. The tensile experiments were carried out at a deformation rate of 0.5 mm.min\(^{-1}\) at room temperature. Elastic modulus (\(E\)) was obtained through Hook's law, relating the stress (\(\sigma\)) and the strain (\(\varepsilon\)) for the uniaxial deformation [19]:

\[
\sigma = E\varepsilon
\]  

2.4 “In vitro” drug release study

The electrospun fiber membranes of PHB and PHB/PEO with different CHX concentrations were dried, cut into 13 mm diameter pieces (triplicate samples were used for statistical purposes), and the initial weight was measured. Each specimen was then placed in a tube containing 10 mL of phosphate-buffered saline solution (PBS, pH 7.4). The tubes containing the solutions were then incubated in an air circulation oven (HERAEUS Vacuotherm) at 37 °C over 72 days. The PBS solution was removed from the tubes and renewed every three days for the samples with higher incubation times; for shorter times, the solution was removed and measured.
The amount of CHX released from the nanofibers to the PBS solution was measured using a UV-Vis spectrophotometer (UV - 2501PC Shimadzu) by measuring the absorbance at 254 nm [12] using a 1 cm quartz cell. A 50 ppm stock solution of CHX in PBS was used to prepare standard solutions with different concentrations (from 0 up to 10 ppm) in order to obtain the calibration curve.

2.5 Antibacterial activity

2.5.1 Disk diffusion susceptibility test

In order to determine materials antibacterial properties, 5 mm diameter sample discs were submitted to a disk diffusion experimental procedure based on the procedure described in [20]. Escherichia coli and Staphylococcus aureus bacteria pre-inocula (kindly provided by the Faculty of Pharmacy, University of Porto, Portugal) were incubated in Mueller Hinton Agar (Himedia) culture medium overnight at 37 °C. The discs were sterilized using ultraviolet (UV) light. McFarland 0.5 turbidity standard was used as a reference to inoculate fresh Mueller Hinton Agar plates with 1-2x10^8 CFUs (Colony Forming Units) mL^{-1} of each bacteria in PBS, pH 7.4, that were evenly spread on the medium surface. The membrane samples were placed into the inoculated plates and were incubated for 24 h at 37 °C. High resolution pictures were acquired and the zone of inhibition (ZoI) was calculated using Image J software. The statistical analysis of One-way ANOVA and Tukey post-tests were performed using GraphPad Prism 4 software.

2.5.2 Evaluation of contact bactericidal effectiveness

The evaluation of the contact antibacterial efficiency of the PHB/PEO and PHB/PEO with 1 wt% CHX fiber membranes was performed according to the AATCC Test Method 100-2012 [21]. The pre-inocula of the bacteria were incubated overnight in Nutrient Broth (Himedia). The sample patches, previously sterilized by UV light, were inoculated with 2.5x10^6 CFUs mL^{-1} of E. coli and 2.5x10^5 CFUs mL^{-1} S. aureus diluted in PBS, pH 7.4. To determine the percentage of CFU reduction the following formula was used:
\[ R = \frac{A - B}{A} \times 100 \] (2)

where \( R \) corresponds to the percentage of reduction, \( A \) to the colony count obtained immediately after the inoculation of the samples and \( B \) to the bacteria count after 24 h of incubation at 37 °C. All colonies were counted visually.

3. Results and discussion

3.1 Fiber membrane morphology

Solutions of PHB/CHX were impossible to electrospin into smooth and bead free fibers, even for small amounts of drug. A blend of PHB/PEO (90/10, w/w) was prepared and the electrospinning process could be performed. Moreover, different amounts of CHX (1, 5 and 10 wt%) were added to the solution and suitably electrospun. PEO was chosen as a blending material to stabilize the electrospinning process of PHB/PEO with CHX, as it has been demonstrated that the addition of a small amount of PEO into the solution facilitates the electrospinning process by increasing the solution elasticity [12]. PEO is also miscible with PHB due to the specific interactions of the PHB carbonyl groups with the CH\(_2\) groups of PEO [22, 23].

Further, CF does not allow CHX dissolution and the presence of drug clusters in the solution was visually observed, even after 2 h in a water bath with ultrasound. It was reported that DMF is a good CHX solvent and, additionally, due to the low dielectric constant and boiling point [18], the addition of a small amount of DMF to the solution mixture allows CHX dissolution, promoting better distribution of the drug among the fibers and helps to stabilize the electrospinning process.

As-spun PHB and PHB/PEO membranes without CHX showed a smooth surface, without beads, and a random fiber distribution (figure 1). Further, PHB/PEO electrospun samples with 1 and 5 wt% CHX showed a similar morphology to that found for the pristine electrospun samples but, on the other hand, fibers with 10 wt% CHX are thinner and show higher amounts of beads (figure 1d).
Figure 1: Morphology of the electrospun fiber membranes of (a) PHB, (b) PHB/PEO, and PHB/PEO with 1wt% (c) and 10 wt% (d) of CHX concentration.

The mean diameter of PHB/PEO fibers range from 1.5 ± 0.4 μm up to 1.2 ± 0.9 μm, and is influenced by the presence of the CHX in the solution (figure 2). It was observed that the incorporation of drug in the polymeric solution leads to a broader fiber size distribution, especially for small amounts of CHX (figure 2).

Figure 2: PHB/PEO fiber distribution for different amounts of CHX present in the fibers: a) 0wt%, b) 1wt% and c) 5 wt%.

The applied voltage is the drive of the electrospinning process, and fiber formation is mainly achieved by the stretching and acceleration of the jets promoted by the high electrical field. When a high electric field is applied between the needle tip and a
grounded collector, formation of a higher charge density on the surface of the ejected jets occurs; thus the jet velocity increases and higher elongation forces are imposed on the jet [24, 25]. CHX has two cationic groups separated by a hydrophobic bridging structure (hexamethylene) and, when a small amount of drug is added to the solution, the charges carried by the solution increase, promoting higher stretching of the jet, leading to the formation of smooth and thinner fibers. However, when CHX is above a certain threshold (possibly between 5 and 10 wt%), the charges present in the solution lead to higher jet stretch ratios at a given electric field, leading to the jet disintegration and the formation of beads (figure 1) [24]. Fiber membrane wettability was qualitatively evaluated by water contact angle measurements. PHB has an hydrophobic behavior with an water contact angle of 126 ± 3°, while for the samples containing PEO with and without CHX, the water was absorbed almost immediately by the fiber membrane, which is related to the hydrophilic nature of PEO and CHX (data no shown) [3, 12, 26].

3.2 Fourier transformed infrared spectroscopy

In order to characterize the interaction between the polymer blend and the CHX, Fourier transform infrared spectroscopy (FTIR) was performed (figure 3). The FTIR spectra show the characteristic vibrational bands of CHX at 1665 cm⁻¹ corresponding to the C=N-H stretching vibration [27], as well as the absorption bands corresponding to the aromatic C=C stretching located at 1537 and 1490 cm⁻¹ [12]. In this way, the presence of the CHX in the membranes after processing was confirmed [28].

Figure 3: FTIR spectra of CHX and PHB/PEO electrospun membranes with 0, 1 and 10 wt% of incorporated drug.

3.3 Mechanical Properties

Mechanical integrity of polymer membrane is an important practical aspect, because material often requires manipulation and sample integrity must be maintained. It was experimentally observed that the samples with higher amounts of drug were very fragile and they easily broke during clamping. Further, the influence of PEO and CHX on the
overall mechanical properties of random fiber membranes was evaluated through stress-strain measurements (figure 4).

**Figure 4**: Stress-strain curves for PHB and PHB/PEO with 0, 1 and 5 wt% of CHX.

The incorporation of PEO in the PHB blend promotes an increase of the deformation at break (figure 4) without compromising the elastic modulus when compared to the PHB polymer. This effect is promoted by blending a hard (PHB) with a soft component (PEO). Further, when CHX is added to the PHB/PEO polymer blend, the deformation at break is reduced but the elastic modulus increases (table I). PHB films are known to have fragile behavior with a deformation at break below 5 % and an elastic modulus around 1.5 GPa [29, 30]. The brittleness of PHB was attributed to polymer glass transition that occurs ~ 0 ºC, leading to cold recrystallization at room temperature, which restricts the mobility of the amorphous phase in interfibrillar and/or interlamellar regions, and results in a failure at relatively low strains [31].

When CHX is added to the polymer fibers, an increase of elastic modulus accompanied by a decrease of the strain at break was observed when CHX, probably due to the strong interfacial interactions between the matrix and the filler. Mechanical properties of fibrous membranes are deeply influenced by the combination of several factors such polymer intrinsic properties, fiber diameter and packing density, and even porosity [32]. Bianco et al. [29] reported that elastic modulus, maximum stress and strain at break of poly(3-hydroxybutyrate-co-3-hydroxyvalerate (PHBV) blended with PEO decreased with increase of the PEO content, and they attributed this effect to the formation of co-continuous fibers made up of the two polymeric components, with consequent combination of their mechanical properties.

**Table I** - Mechanical properties of PHB and PHB/PEO with different CHX concentrations.

### 3.4 CHX release kinetics

Drug release from the polymer fiber membranes is higher for the samples with lower drug contents incorporated into the polymer blend. In the case of electrospun cellulose
acetate fibers, the bound concentration of CHX to electrospun cellulose acetate fibers varied in a narrow range between 5 and 9 wt%, this being the effect of CHX on binding and drug release weak for concentrations below 2 wt%. [12] Similarly, the present results indicate that the amount of unbounded CHX present in the PHB/PEO electrospun membranes is larger for the samples with 1 wt% of CHX, when compared to the samples with higher amounts of CHX. The sample with 5 wt% CHX should be more bound to the polymer structure and, consequently, lower drug contents are released to the PBS solution (figure 5).

Various empiric kinetics models described the overall release of drug from dosage forms [33]. The Korsmeyer-Peppas (KP) model describes the phenomena of drug release from a polymeric system:

\[ \frac{M_t}{M_\infty} = K t^n \]  

where \( \frac{M_t}{M_\infty} \) is defined as the fraction of drug released at time \( t \), \( K \) is the release rate constant and \( n \) is the release exponent and is used to characterize the different release mechanisms [33]. The fitting results to the experimental data are presented in figure 5 and table II.

**Figure 5**: Drug release curves of electrospun fibers with CHX. Dots corresponds to experimental data and the solid lines to the fitting results.

In the KP model, \( n \) is an indicative of the mechanism of drug diffusional release that occurs by CHX molecular diffusion. According to Korsmeyer et al. [34], if \( 0.5 \leq n \), the drug release is ruled by a anomalous diffusion mechanism. It was observed that the \( n \) parameter obtained for the CHX release fitting results decreases from 0.21 to 0.15 with increasing of the drug content present in the polymer fibers mats (table II), which suggests that CHX molecules were distributed along the polymer fibers, promoting rapid diffusion out at the initial release time [4].

**Table II** – Drug release kinetics parameters obtained by the Korsmeyer-Peppas model.
3.5 Antibacterial activity

The bacteria *E. coli* and *S. aureus* were used as indicators for antibacterial efficiency of the polymer system containing CHX. The bactericidal capacity of the different concentrations of CHX was determined using disk diffusion tests. The contact killing efficiency of PHB/PEO with different CHX contents was assessed by CFU quantification (figure 6). All the CHX concentrations exhibited significant bactericidal properties when compared to the experiment control of PHB/PEO fibers without CHX (figure 6 c and d, *E. coli* P<0.01; *S. aureus* P<0.001), reflecting the effective antibacterial activity for this polymeric system. Moreover, for each microorganism, the zones of inhibition generated for the tested concentrations of CHX presented no significant differences among them, which demonstrates that the PHB/PEO fiber mats with 1, 5 and 10 wt% of CHX possess equivalent bactericidal potency. The antibacterial efficiency was higher against *S. aureus* in comparison to *E. coli*. For instance the PHB/PEO 1 wt% CHX samples presented a ZoI in *S. aureus* roughly two fold higher than in *gram-negative E. coli*. This was expected as *gram-positive S.aureus* bacteria are more susceptible to the biocidal action of this biguanide than *E. coli* [35-37]; indeed, the value of CHX minimal inhibitory concentration (MIC) is approximately a half for *S. aureus* (0.5 – 4 µg mL⁻¹) that for *E. coli* (2 – 8 µg mL⁻¹) [12, 35].

**Figure 6:** PHB/PEO with 1, 5 and 10 wt% CHX antibacterial activity assays against *E.Coli* (a) and *S.aereus* (b). Zone of inhibition (ZoI) obtained for PHB/PEO control and PHB/PEO with 1, 5 and 10 wt% CHX against *E.Coli* (c) and *S.aereus* (d). One-way ANOVA with Tukey multiple comparison test, ** corresponds to P<0.01 and *** corresponds to P<0.001.

The sample with 1 wt% CHX was chosen to quantify the ability of the composite samples to eliminate the tested bacteria (*E. coli* and *S. aureus*), due to the higher release of the drug to the aqueous media (figure 5). Table III displays the results obtained in the contact-killing experiment. As can be observed, electrospun fibers without CHX did not inhibit *E. coli* growth on contact, and an increase in bacteria number was observed. However, PHB/PEO showed a considerable reduction in *S. aureus* viable cell count after 24 h of incubation (table III).
Table III – Colony forming unit (CFU) count immediately after inoculation (0 h) and after 24 h of incubation. Bacteria reduction was calculated using Equation 2.

It was observed that PHB/PEO with 1% CHX sample produced a steep reduction of the viable bacterial cells in a very short time. This was particularly so on E. coli, since the CFU count immediately after inoculation was 0 representing a complete kill (>6-log). As for S. aureus, for 0 h the CFU count would be similar in both electrospun materials, but a 2-log reduction was identified for the sample with 1wt% CHX. Such a rapid bactericidal action is only possible due to the mechanism of action of CHX. This biguanide has a cationic nature which electrostatically attracts it to the negatively charged bacteria allowing a contact-killing capacity of log 4 (99.99 %) in a wide scope of bacteria in just 10 minutes with a concentration of 0.02 % [38, 39]. Taking into consideration that the electrospun material produced possesses 1 wt% of CHX, a highly effective bactericidal action is expected even if exposure occurs for a very short period of time. However, S. aureus displayed a higher susceptibility in disc diffusion tests, showing a resistance in this contact-killing assay. Several factors may be responsible for this unforeseen survival rate that required further testing to pin-point the reason. There is a possibility that a portion of the S. aureus suspension may have been exposed to a sub lethal dosage of CHX and only suffered a bacteriostatic effect [38]. A reduction of 99.6 % was observed for the PHB/PEO with 1 wt% CHX, which represents a 2-log reduction.

Conclusions

Bactericide fiber membranes were produced by electrospinning of polymer blends with different amounts of chlorhexidine, for assessment as a polymer based drug delivery system. The addition of a small amount of a high molecular weight PEO to the PHB solutions significantly improves the elasticity of the PHB solution and facilitates the formation of fibers. Further, it was observed that for CHX concentrations above 5 % present in the polymer solution the electrospinning process was unstable due to the charges added by the drug to the solution that promotes higher stretch ratios of the jet, leading to the formation of fibers and beads due to poor polymer entanglement.
An increase of polymer membrane elastic modulus was observed for the samples with CHX incorporated and the deformation at break decreases when compared to the PHB/PEO fiber membranes without CHX. Drug release in a PBS solution at 37 ºC was characterized and it was found that the sample with less CHX present in the polymeric solution delivered higher amounts of the drug to the buffer solution. CHX release kinetics was studied and KP model shows that the drug release mechanism is ruled by an anomalous diffusion mechanism.

The bactericidal potential of PHB and PHB/PEO electrospun fibers with and without CHX was investigated against *Escherichia coli* (*E. coli*) and *Staphylococcus aureus* (*S. aureus*) by disk diffusion susceptibility tests. Bactericidal performance of samples with 1 wt% CHX presents a reduction of 100 % and 99.69 % against *E. coli* and *S. aureus*, respectively. Finally, the strategy present in this work is not limited to the incorporation of the CHX, but will also enable incorporation of a wide range of pharmaceutical drugs into the fibers.

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